PTO-1390 (AW 09-30-04)

ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES PC10435US DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP03/05405 23 May 2003 (23.05.2003) 17 June 2002 (17.06.2002) TITLE OF INVENTION FLOATING-CALIPER DISK BRAKE APPLICANT(S) FOR DO/EO/US Rudolf THIEL Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2 This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission 3. must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. A have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. ✓ An ⋈ executed/ unexecuted oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report underPCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern documents(s) or information included: 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20. Other items or information: Certificate of Mailing by Express Mail Label No. EV504352960US; Marked up copy of Specification; PCT International Search Report; German Search Report; 6 references; 1 page Credit Card Payment Form; post card

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. APPLICATION NO. ((known, see 3) CFR 1.5) Not Yet Known 5.1 6 5 6 PCT/EP03/05405							ATTORNEY DOCKET NUMBER PC10435US			
21. The following fees are submitted:						CALCULATIONS PTO USE ONLY				
BASIC NATIONAL FEE (37 CFR 1.492(a)(1) – (5)):										
Neither international preli										
nor international search fe and International Search R										
and international Scaron is	epon not prepi									
International preliminary of USPTO but International S										
International preliminary of										
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$790.00										
International preliminary of		-	·							
but all claims did not satis										
International preliminary										
and all claims satisfied provisions of PCT Article 33 (1)-(4) \$100.00										
ENTER APPROPRIATE BASIC FEE AMOUNT =							0.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months										
	from the earliest claimed priority date (37 CFR 1.492(e)).									
CLAIMS	NUMBER F	ILED	EXTRA NUMBE	R	RATE					
Total claims		8- 20 =			X \$18.00	\$				
Independent claims		1 - 3 =			X \$88.00	\$				
MULTIPLE DEPENDENT					+ \$300.00	\$				
	TO	OTAL (OF ABOVE C	ALCUL.	ATIONS =	\$		-		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above						\$				
are reduced by ½.						0.050				
D : C C0120.00		F 11 1			TOTAL =	\$ 950	0.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).										
from the earliest claimed p	\$ 950	00								
TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be										
	\$ 40.	00								
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + TOTAL FEES ENCLOSED =							0.00			
TOTAL FEES ENCLOSED -							Amount to be	\$		
							refunded:	D D		
							Charged:	\$		
a. A check in the	amount of \$	to	cover the above	fees is en	closed.					
1	•									
b. Please charge A duplicate co			No in the	amount o	1 \$ to co	ver the	above iees.			
A duplicate et	py or uns sin	et is che	Josea.							
			ized to charge an					edit any		
overpayment t	o Deposit Ac	count No	o A dup	licate cop	y of this sheet i	is enclo	sed.			
l. –										
			rd. WARNING: ed on this form.							
2038.	nouta not be	inciuae	ea on this form.	Provide c	reuit card into	ппаноп	and authorizat	ion on PTO-		
2030.										
NOTE: Where an a								revive (37		
CFR 1.137(a) or (b))	must be fil	ed and	granted to res	tore the	Application	to pen	ding status.			
☐ Customer Number			231	22		_				
(Insert Customer No. here)					9)	or Correspondence address below				
Send All Correspondence to:							Lico-	1		
N Detail Desertie										
P.O. Box 980				Signature						
Valley Forge, PA 19482					Ellen E. Fielitz					
OR Name								/		
RatnerPrestia					54,746					
Nemours Building 1007 Orange Street				Registration Number						
Suite 1100			12/1/2004							
P.O. Box 1596				Date						
Wilmington, DE 19899										

10/516564

CERTIFICATE OF MAI Applicant(s): Rudolf Thiel		L" (37 CFR 1.10)	Rec'd	PCT/PTO 101 Docket No.	DEC 200		
			1	PC10435US			
Serial No.	Filing Date	Examiner	•	Group Art	Unit		
Not Yet Known	concurrently herewith						
Invention: FLOATING-CA	ALIPER DISK BRAKE		: :				
			!				
					·		
			;				
I hereby certify that the fo							
U.S. National Phase Pa	atent Application and its encl	osures	:				
			:				
			;				
			1				
			ŧ				
	(Identify type of	f correspondence)	:				
CFR 1.10 in an envelope Mail Stop PCT,	ne United States Postal Service addressed to: s, P.O. Box 1450, Alexandria, V		Address	ee" service unde	er 37		
on Becomber 1, 2004		Kathleen Libby					
		(Typed or Printed Name	of Person I	Mailing Correspond	ence)		
	in	Lihlis					
		(Signature of Pers	on Mailing	Correspondence)			
EV504352960US							
		("Express Mai	il" Mailing I	Label Number)			
	Note: Each paper must have	e its own certificate of mailin	g.				
		·					
					ŀ		